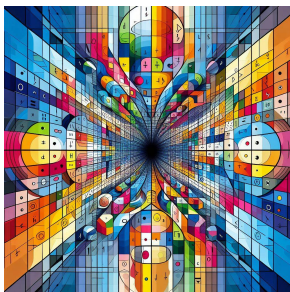


Multiple operator integrals and the abstract pseudodifferential calculus of Connes and Moscovici

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Based on ongoing joint work with Eva-Maria Hekkelman (UNSW) and Edward McDonald (Penn State)

Part I: Spotting multiple operator integrals in nature

Part II: The abstract pseudodifferential calculus of Connes and Moscovici

Part I

Spotting multiple operator integrals in nature



Part I.a:
MOIs in Connes' approach to particle physics

Definition

A spectral triple $(\mathcal{A}, \mathcal{H}, D)$ consists of a $*$ -algebra $\mathcal{A} \subseteq \mathcal{B}(\mathcal{H})$ and a self-adjoint operator D , acting in the Hilbert space \mathcal{H} , such that $(D - i)^{-1}$ is compact and such that $[D, a]$ extends to a bounded operator for all $a \in \mathcal{A}$.

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$[D, a]\psi = D(a \cdot \psi) - a \cdot D(\psi) = (-i) \frac{d}{d\theta}(a \cdot \psi) - (-i)a \frac{d}{d\theta}\psi = (-i) \frac{da}{d\theta}\psi$

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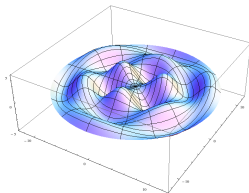
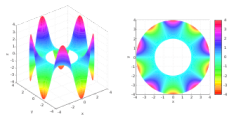
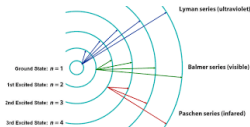
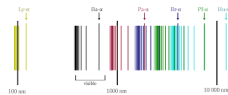
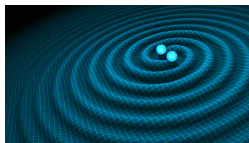
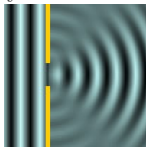
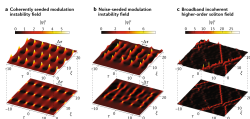
Connes' **Reconstruction theorem**: If \mathcal{A} is commutative (and 8 technical properties hold) then $(\mathcal{A}, \mathcal{H}, D)$ must be of the form

$$(C^\infty(M), L^2(E), D_M),$$

for a Riemannian manifold M , a spinor bundle $E \rightarrow M$, and D_M the Dirac operator in $L^2(E)$.

The spectral action:

$\text{Tr}(f(D))$, for a suitable function $f : \mathbb{R} \rightarrow \mathbb{R}$ and a self-adjoint operator D whose spectrum encodes ‘the physics’.



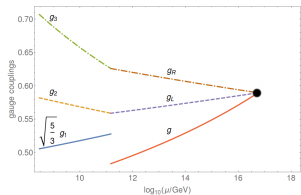
Any countably additive way to obtain a number from this spectrum is of the form $\text{Tr}(f(D))$.

Two ways to expand the spectral action $\text{Tr}(f(\frac{D+V}{\Lambda}))$:

1. Expand $\text{Tr}(f(\frac{D+V}{\Lambda}))$ in Λ

$$\begin{aligned} \text{Tr}(f(\frac{D_{M \times F} + V}{\Lambda})) &= c_0 \Lambda^4 \text{vol}(M) + c_1 \Lambda^2 \int R \sqrt{g} dx + c_2 \int \text{tr} F_{\mu\nu} F^{\mu\nu} \\ &\quad - c_3 \int |\phi|^2 + c_4 \int |\phi|^4 + \dots \end{aligned}$$

Spectral triple \rightarrow Physical effective action, RG flow \rightarrow measurable data.
But: noncommutativity is ignored in intermediate step.



Renormalization Group flow

cf. [van Suijlekom, Chamseddine, Connes, JHEP]

2. Expand $\text{Tr}(f(\frac{D+V}{\Lambda}))$ in $\Lambda^{-1}V$

$$\text{Taylor: } \text{Tr}(f(\frac{D+V}{\Lambda})) = \sum_{n=0}^{\infty} \frac{\Lambda^{-n}}{n!} \text{Tr}(T_{f^{[n]}}^{D/\Lambda, \dots, D/\Lambda}(V, \dots, V))$$

Part I.b:
MOIs in the wild noncommutative literature

One may spot MOIs throughout the noncommutative literature.

We use the Chern character of $(\mathcal{A}, \mathcal{H}, D)$ in entire cyclic cohomology (cf. [2]) given in the most efficient manner by the JLO formula, which defines the components of an entire cocycle in the (b, B) bicomplex:

$$(90) \quad \psi_n(a^0, \dots, a^n) = \sqrt{2i} \int_{\sum_{i=1}^n n_i = 2n} \dots$$

$$\text{Trace} \left(a^0 e^{-t_0 D^2} [D, a^1] e^{-t_1 D^2} \dots e^{-t_{n-1} D^2} [D, a^n] e^{-t_n D^2} \right), \quad \forall a^i \in \mathcal{A}$$

where n is odd.

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1.1. **Rearrangement Lemma and multivariable functional calculus.** An important technical tool for the calculation of heat coefficients in the noncommutative setting is the Rearrangement Lemma which informally reads

$$\int_0^\infty f_0(uk^2) \cdot b_1 \cdot f_1(uk^2) \cdot b_2 \cdot \dots \cdot b_p \cdot f_p(uk^2) \, du = k^{-2} F(\Delta^{(1)}, \Delta^{(1)}, \Delta^{(2)}, \dots, \Delta^{(1)}, \dots, \Delta^{(p)})(b_1 \cdot \dots \cdot b_p), \quad (1.4)$$

where the function $F(s_1, \dots, s_p)$ is

$$F(s) = \int_0^\infty f_0(u) \cdot f_1(us_1) \cdot \dots \cdot f_p(us_p) \, du$$

and $\Delta^{(j)}$ signifies that the modular operator $\Delta = k^{-2} \cdot k^2$ acts on the j -th factor. In [CoMo14] it is proved for the concrete integral

$$\int_0^\infty (uk^2)^{|n|+p-1} (1+uk^2)^{-\alpha_0-1} \cdot b_1 \cdot (1+uk^2)^{-\alpha_1-1} \cdot \dots \cdot b_p \cdot (1+uk^2)^{-\alpha_p-1} \, du, \quad (1.5)$$

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The computation of this matrix-valued function \mathcal{X} is based on the Volterra series

$$e^{A+B} = e^A + \sum_{k=1}^\infty \int_{\Delta_k} ds e^{(1-s_1)A} B e^{(s_1-s_2)A} \dots e^{(s_{k-1}-s_k)A} B e^{s_k A},$$

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$$\Delta_k := \{s = (s_1, \dots, s_k) \in \mathbb{R}_+^k \mid 0 \leq s_k \leq s_{k-1} \leq \dots \leq s_2 \leq s_1 \leq 1\} \quad (\text{we also use}$$

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Theorem 4.3 (Odd semifinite local index formula). *Let $(\mathcal{A}, \mathcal{H}, D)$ be an odd finitely summable QC^∞ spectral triple with spectral dimension $q \geq 1$. Let $N = \lfloor q/2 \rfloor + 1$ where $\lfloor \cdot \rfloor$ denotes the integer part, and let $u \in \mathcal{A}$ be unitary. Then*

$$1) \quad \text{index}(QuQ) = \frac{1}{\sqrt{2\pi}} \epsilon^{\text{sgn}(-1-q)/2} \left(\sum_{n=0, \dots, 2N} \zeta_n^*(Ch_n(u)) \right)$$

where for $a_0, \dots, a_n \in \mathcal{A}$, $l = (n + iv; v \in \mathbb{R})$, $0 < n < 1/2$, $R_l(\lambda) = (\lambda - (1 + s^2 + D^2))^{-1}$ and $r > 0$ we define $\zeta_n^*(a_0, \dots, a_n)$ to be

$$\frac{-2\sqrt{2\pi}}{\Gamma((n+1)/2)} \int_0^\infty s^{2r} \left(\frac{1}{2\pi i} \int_{\mathcal{L}} \lambda^{-q/2-r} a_0 R_l(\lambda) [D, a_1] R_l(\lambda) \dots [D, a_n] R_l(\lambda) d\lambda \right) ds.$$

In particular the zeros on the right hand side of 1) analytically continue to a deleted neighbourhood of $r = (1 - q)/2$ with at worst a simple pole at $r = (1 - q)/2$. Moreover, the complex function-valued cochain $(\zeta_n^*)_{n=0, \dots, 2N}$ is a (b, B) cocycle for \mathcal{A} modulo functions holomorphic in a half-plane containing $r = (1 - q)/2$.

One comes accross expressions roughly like



$$\frac{n}{2\pi i} \oint_{\gamma} f'(z)(z - D)^{-1} V_1(z - D)^{-1} \cdots V_n(z - D)^{-1} dz,$$

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$$\sum_{i_0, \dots, i_n=1}^m f^{[n]}(\lambda_{i_0}, \dots, \lambda_{i_n}) (V_1)_{i_0 i_1} \cdots (V_n)_{i_{n-1} i_n} E_{i_0 i_n}$$

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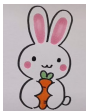
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Spoiler alert: they are all the same.

$$=: T_{f^{[n]}}^{D, \dots, D}(V_1, \dots, V_n).$$

Let H_0, \dots, H_n be self-adjoint in \mathcal{H} .

Suppose $\phi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ is measurable and can be written as

$$\phi(\lambda_0, \dots, \lambda_n) = \int_{\Sigma} \alpha_0(\lambda_0, \sigma) \cdots \alpha_n(\lambda_n, \sigma) d\sigma$$

for a finite measure space (Σ, σ) , and bounded measurable $\alpha_j : \Sigma \times \mathbb{R} \rightarrow \mathbb{R}$.

We define [Peller 2006] the multiple operator integral

$$T_{\phi}^{H_0, \dots, H_n}(V_1, \dots, V_n)\psi := \int_{\Sigma} \alpha_0(H_0, \sigma) V_1 \alpha_1(H_1, \sigma) \cdots V_n \alpha_n(H_n, \sigma) \psi d\sigma.$$

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This defines a **well-defined** multilinear operator

$$T_{\phi}^D : \mathcal{B}(\mathcal{H}) \times \cdots \times \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H}).$$

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One often sees $\phi = f^{[n]}$. If $\widehat{f^{(n)}} \in L^1$ then $\phi = f^{[n]}$ splits as above. (Because

of )

Credit $n = 1$: **Daletskii, Krein, Löwner, Krein, Birman, Solomyak**

For the analysts, MOIs are nice because they lead to sharp bounds:

- ▶ $\|f(D + V) - f(D)\|_p \leq c_p \|f\|_{\text{Lip}} \|V\|_p$ [Potatov, Sukochev]
- ▶ $\|[f(D), V]\|_p \leq C_p \|f\|_{\text{Lip}} \|[D, V]\|_p$, $C_p \sim \frac{p^2}{p-1}$
[Caspers, Montgomery-Smith, Potapov, Sukochev]
- ▶ $\|\frac{d^n}{dt^n} f(D + tV)|_{t=0}\|_p \leq c_p \|f^{(n)}\|_\infty \|V\|_p^n$ [Potatov, Sukochev, Skripka]

where $p \in (1, \infty)$.

Direct applications are spectral shift functions, but also the sharpness of the above results is quite helpful.

In the Journal of Soviet Mathematics, 1993:

OPERATOR INTEGRATION, PERTURBATIONS, AND COMMUTATORS

M. Sh. Birman and M. Z. Solomyak

UDC 517.43

Under mild assumption, integral representations of the form

$$\sharp(A_k) \cdot \mathcal{J} - \mathcal{J} \cdot \sharp(A_k) = \iint \frac{\sharp(\mu) - \sharp(\lambda)}{\mu - \lambda} dE_k(\mu)(A_k \mathcal{J} - \mathcal{J} A_k) dE_k(\mu), \quad (*)$$

are justified. Here A_k , $k = 0, 1$, is a self-adjoint operator in a Hilbert space \mathcal{H}_k , \mathcal{J} is an operator from \mathcal{H}_0 into \mathcal{H}_1 ; in general, all the operators are unbounded; E_k is the spectral measure of the operator A_k . On the basis of the representation (), estimates of the s -numbers of the operator $\sharp(A_1) \cdot \mathcal{J} - \mathcal{J} \cdot \sharp(A_2)$ in terms of the s -numbers of the operator $A_1 \mathcal{J} - \mathcal{J} A_0$ are given. Analogous results are obtained for commutators and anticommutators.*

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**OPERATOR INTEGRATION, PERTURBATIONS, AND
COMMUTATORS**

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UDC 517.43

Under mild assumption, integral representations of the form

$$f(A_1) \cdot J - J \cdot f(A_2) = \iint \frac{f(\mu) - f(\lambda)}{\mu - \lambda} dE_{E_1(\mu)}(A_1 J - J A_2) dE_{E_2}(\lambda), \quad (*)$$

are justified. Here A_k , $k = 0, 1$, is a self-adjoint operator in a Hilbert space \mathfrak{H}_k , J is an operator from \mathfrak{H}_0 into \mathfrak{H}_1 ; in general, all the operators are unbounded; E_k is the spectral measure of the operator A_k . On the basis of the representation (), estimates of the s -numbers of the operator $f(A_1) \cdot J - J \cdot f(A_2)$ in terms of the s -numbers of the operator $A_1 J - J A_2$ are given. Analogous results are obtained for commutators and anticommutators.*

In MOI notation:

1. $f(A) - f(B) = T_{f^{[1]}}^{A,B}(A - B)$
2. $[f(H), a] = T_{f^{[1]}}^{H,H}([H, a])$

Here, $f^{[1]}(\mu, \lambda) = \frac{f(\mu) - f(\lambda)}{\mu - \lambda}$.

Adding the 0th order $f(H) = T_{f[0]}^H()$ one realises the two relations relate MOIs of 0th order to MOIs of 1st order.

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Generalise to higher order:

0. $f(H) = T_{f[0]}^H()$

1. $T_{f[n]}^{H_0, \dots, A, \dots, H_n}(V_1, \dots, V_n) - T_{f[n]}^{H_0, \dots, B, \dots, H_n}(V_1, \dots, V_n) = T_{f[n+1]}^{H_0, \dots, A, B, \dots, H_n}(V_1, \dots, A - B, \dots, V_n)$

2. $T_{f[n]}^{H_0, \dots, H_n}(\dots, V_{j-1}, aV_j, \dots) - T_{f[n]}^{H_0, \dots, H_n}(\dots, V_{j-1}a, V_j, \dots) = T_{f[n+1]}^{H_0, \dots, H_n}(\dots, V_{j-1}, [H_j, a], V_j, \dots)$

Theorem ()

For all $N \in \mathbb{N}$: $f(H + V) = \sum_{n=0}^N T_{f^{[n]}}^{H, \dots, H}(V, \dots, V) + T_{f^{[N+1]}}^{H+V, H, \dots, H}(V, \dots, V)$

Proof.

Induction basis ($N = 0$):

$$\begin{aligned} f(H + V) &\stackrel{(1)}{=} f(H) + T_{f^{[1]}}^{H+V, H}(V) \\ &\stackrel{(0)}{=} T_{f^{[0]}}^H() + T_{f^{[1]}}^{H+V, H}(V). \end{aligned}$$

Induction step:

$$\begin{aligned} f(H + V) &\stackrel{(IH)}{=} \sum_{n=0}^N T_{f^{[n]}}^{H, \dots, H}(V, \dots, V) + T_{f^{[N+1]}}^{H+V, H, \dots, H}(V, \dots, V) \\ &= \sum_{n=0}^{N+1} T_{f^{[n]}}^{H, \dots, H}(V, \dots, V) + T_{f^{[N+1]}}^{H+V, H, \dots, H}(V, \dots, V) \\ &\quad - T_{f^{[N+1]}}^{H, H, \dots, H}(V, \dots, V) \\ &\stackrel{(1)}{=} \sum_{n=0}^{N+1} T_{f^{[n]}}^{H, \dots, H}(V, \dots, V) + T_{f^{[N+2]}}^{H+V, H, \dots, H}(V, \dots, V) \end{aligned}$$

Theorem (humanity)

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Hence, it follows from **just 0 and 1** that

$$\mathrm{Tr}(f(D + tV)) \sim \sum_{n=1}^{\infty} t^n \mathrm{Tr}(T_{f^{[n]}}^D(V, \dots, V)).$$

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Similarly, it follows from [1 and 2](#) and cyclicity that the functionals

$$\phi_n(\mathbf{a}_0, \dots, \mathbf{a}_n) = \mathrm{Tr}(\mathbf{a}_0[D, \mathbf{a}_1] T_{f^{[n]}}^D([D, \mathbf{a}_1], \dots, [D, \mathbf{a}_n]))$$

are (b, B) -cocycles for even n .

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Adding some very general summability assumptions, one finds $\frac{d^n}{dt^n} f(D + tV)|_{t=0} = T_{f^{[n]}}^D(V, \dots, V)$, convergence of the Taylor series, and entire cyclic cocycles that recover the spectral action:

$$\mathrm{Tr}(f(D + V) - f(D)) = \sum_{k=1}^{\infty} \left(c_k \int_{\psi_{2k-1}} \mathrm{cs}_{2k-1}(A) + \frac{1}{2k} \int_{\phi_{2k}} F^k \right). \text{ [van Suijlekom-vN, 2021]}$$

Connes asked what happens to ϕ_n if $D \mapsto \frac{D}{\Lambda}$ and $\Lambda \rightarrow \infty$.. “not obvious at all”

Indeed, an answer requires unbounded multiple operator integrals!

Another reason for unbounded MOIs

From [vN-Sukochev-Zanin,2023]:

The local invariants $I_k(P)$ of an operator P acting in $L_2(\mathbb{T}_\theta^d)$ are the unique coefficients occurring in the heat trace expansion, which is the asymptotic expansion

$$(1.1) \quad \mathrm{Tr}(\lambda_l(y)e^{-tP}) \sim \sum_{\substack{k \geq 0 \\ k=0 \bmod 2}} t^{\frac{k-d}{2}} \tau(y I_k(P)), \quad t \downarrow 0 \quad (y \in L_\infty(\mathbb{T}_\theta^d)).$$

In [42] it was shown that this expansion exists if (and in particular e^{-tP} is trace class if) P is self-adjoint and of the form

$$(1.2) \quad P = \lambda_l(x)\Delta + \sum_{i=1}^d \lambda_l(a_i)D_i + \lambda_l(a) \quad \text{for some } x, a_i, a \in C^\infty(\mathbb{T}_\theta^d),$$

and later on:

$$(3.5) \quad \mathbf{W}_j^{\mathcal{A},\iota} = \begin{cases} \mathbf{A}_{\iota(j)} & (j \in \mathcal{A}); \\ \mathbf{P}, & (j \notin \mathcal{A}), \end{cases}$$

where (for all $i \in \{1, \dots, d\}$)

$$(3.6) \quad \mathbf{A}_i := 2x\mathbf{D}_i + a_i, \quad \mathbf{P} := x \sum_{i=1}^d \mathbf{D}_i^2 + \sum_{i=1}^d a_i \mathbf{D}_i + a \in \mathcal{X}.$$

3.2. Main result. Our main result is formulated as follows.

Theorem 3.3. *Let $d \in \mathbb{N}_{\geq 2}$, $k \in 2\mathbb{Z}_+$, and let P be a self-adjoint operator acting in $L_2(\mathbb{T}_\theta^d)$ of the form (1.2) for positive invertible x . The k^{th} order local invariant of P occurring in the asymptotic expansion (1.1) takes the form*

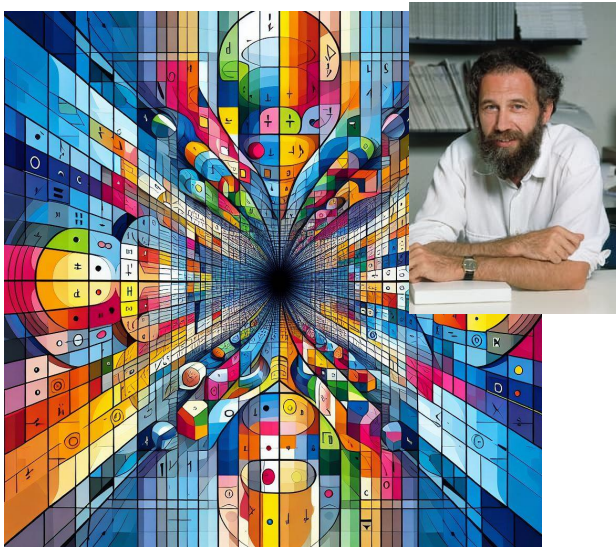
$$(3.7) \quad I_k(P) = (-1)^{\frac{k}{2}} \pi^{\frac{d}{2}} \sum_{\frac{k}{2} \leq m \leq k} \sum_{\substack{\mathcal{A} \subseteq \{1, \dots, m\} \\ |\mathcal{A}| = 2m - k}} \sum_{\iota: \mathcal{A} \rightarrow \{1, \dots, d\}} c_d^{(\iota)} \mathbf{T}_{F_k, d}^{x, m}(\mathbf{W}_1^{\mathcal{A}, \iota}, \dots, \mathbf{W}_m^{\mathcal{A}, \iota}),$$

The abstract Ψ differential calculus of Connes and Moscovici



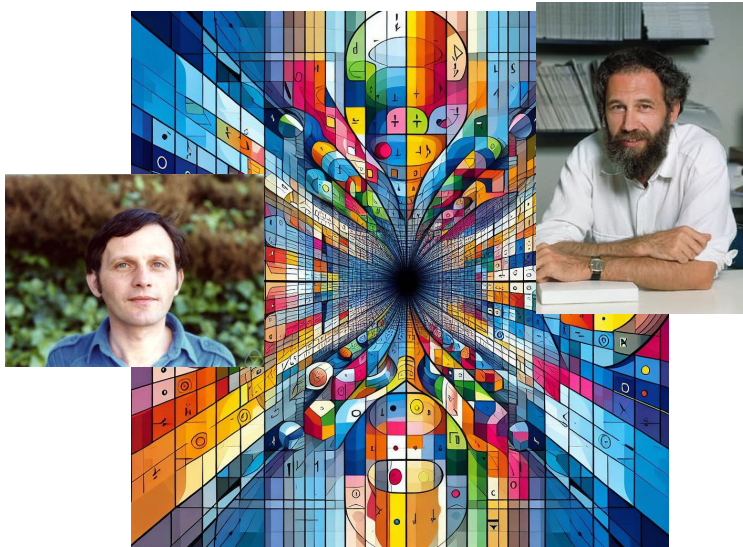
Part II

The abstract Ψ differential calculus of Connes and Moscovici



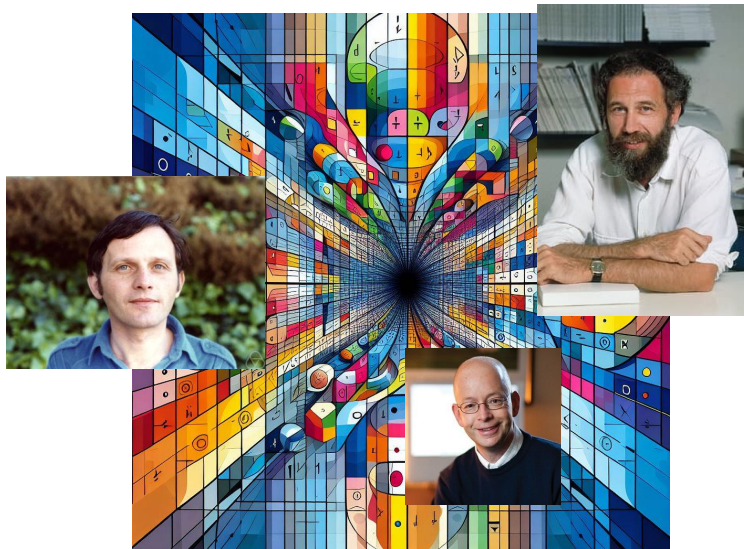
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The pseudodifferential calculus:

Let Θ be a positive invertible operator in \mathcal{H} . (Think of $\sqrt{1 + D^2}$.)

- ▶ Define the Hilbert spaces

$$\mathcal{H}^s := \overline{\text{dom}\Theta^s}^{\|\cdot\|_s}, \quad \langle \phi, \psi \rangle_{\mathcal{H}^s} := \langle \Theta^s \phi, \Theta^s \psi \rangle$$

for $s \in \mathbb{R}$ where $\|\phi\|_{\mathcal{H}^s} := \|\Theta^s \phi\|$ – though taking this closure is not necessary for $s \geq 0$. We write $\mathcal{H}^\infty = \bigcap_{s \geq 0} \mathcal{H}^s$, which is dense in \mathcal{H} .

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- ▶ We say that a linear operator $A : \mathcal{H}^\infty \rightarrow \mathcal{H}^\infty$ is in the class

$$\text{op}^r = \text{op}^r(\Theta)$$

if A extends to a continuous operator

$$\overline{A}^{s,r} : \mathcal{H}^{s+r} \rightarrow \mathcal{H}^s$$

for all $s \in \mathbb{R}$. (If no confusion can arise, we write $A : \mathcal{H}^{s+r} \rightarrow \mathcal{H}^s$.)

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- ▶ We write $\text{op} := \bigcup_{r \in \mathbb{R}} \text{op}^r$ and $\text{op}^{-\infty} := \bigcap_{r \in \mathbb{R}} \text{op}^r$.
- ▶ We define $\Psi^r \subseteq \text{op}^r$ as those $A \in \text{op}^r$ for which $\delta_\Theta^n(A) \in \text{op}^r$ for each $n \geq 0$, where $\delta_\Theta(A) := [\Theta, A]$.

Theorem

Let $n \in \mathbb{N}$, let H_0, \dots, H_n be self-adjoint operators in \mathcal{H} , and let $\phi : \mathbb{R}^{n+1} \rightarrow \mathbb{C}$ be of the form

$$\phi(\lambda_0, \dots, \lambda_n) = \int_{\Omega} a_0(\lambda_0, \omega) \cdots a_n(\lambda_n, \omega) d\nu(\omega),$$

for a finite measure space (Ω, ν) and bounded measurable $a_j : \mathbb{R} \times \Omega \rightarrow \mathbb{C}$. Suppose that we have $a_j(H_j, \omega) \in \text{op}^0(\Theta)$ and

$$\|a_j(H_j, \omega)\|_{\mathcal{H}^s \rightarrow \mathcal{H}^s} \leq C_{s, H_j} \|a_j(\cdot, \omega)\|_{\infty}$$

for every $0 \leq j \leq n$, $s \in \mathbb{R}$, and $\omega \in \Omega$, and certain constants $C_{s, H_j} \in \mathbb{R}$. Then the integral

$$T_{\phi}^{H_0, \dots, H_n}(V_1, \dots, V_n)\psi := \int_{\Omega} a_0(H_0, \omega) V_1 a_1(H_1, \omega) \cdots V_n a_n(H_n, \omega) \psi d\nu(\omega),$$

for $V_1, \dots, V_n \in \text{op}$, $\psi \in \mathcal{H}^{\infty}$, converges as a Bochner integral in \mathcal{H}^s for every $s \in \mathbb{R}$. This defines a **well-defined** map

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$$T_{\phi}^{H_0, \dots, H_n} : \text{op} \times \cdots \times \text{op} \rightarrow \text{op}.$$

If $\Theta = 1$, we get the usual MOI of [Peller, 2006]. If $\Theta = \sqrt{1 + \Delta}$ on $L^2(\mathbb{R}^d)$, then $\mathcal{H}^s = W_2^s(\mathbb{R}^d)$. For a spectral triple, take $\Theta = \sqrt{1 + D^2}$.

The main application:

An elliptic operator of order $r \in \mathbb{R}$ is an operator $H \in \text{op}^r(\Theta)$ for which there exists a parametrix $P \in \text{op}^{-r}(\Theta)$ such that

$$HP = 1_{\mathcal{H}^\infty} + R_1;$$

$$PH = 1_{\mathcal{H}^\infty} + R_2,$$

where $R_1, R_2 \in \text{op}^{-\infty}$.

We call $f \in C^\infty(\mathbb{R})$ (or \mathbb{R}_+) of order $\beta \in \mathbb{R}$ if

$$(\sqrt{1+x^2})^{k-\beta+\epsilon} f^{(k)}(x)$$

is bounded for all $k \in \mathbb{N}$.

If f is of order β , if H_0, \dots, H_n are symmetric and elliptic of order $h > 0$, and if $V_j \in \text{op}^{r_j}$, then we obtain

$$T_{f^{[n]}}^{H_0, \dots, H_n}(V_1, \dots, V_n) \in \text{op}^{(\beta-n)h + \sum r_j}.$$

E.g., if $f(x) = e^{-x}$, the MOI is a smoothing operator...

Some notes on elliptic operators:

Proposition

Let $H \in \text{op}^r$ be an elliptic operator. If $x \in \mathcal{H}^{-\infty}$ is such that $Hx \in \mathcal{H}^s$ for an $s \in \mathbb{R}$, then $x \in \mathcal{H}^{s+r}$.

Proposition

Let $H \in \text{op}^r$, $r \geq 0$, be an elliptic and symmetric operator. Then H is self-adjoint with domain \mathcal{H}^r .

Theorem

Let $H \in \text{op}^r$, $r > 0$, be elliptic and symmetric, and let E denote its spectral measure. If $f \in L^\beta_\infty(E)$, $\beta \in \mathbb{R}$, then $f(H) \in \text{op}^{\beta r}$ with

$$\|f(H)\|_{\mathcal{H}^{s+\beta r} \rightarrow \mathcal{H}^s} \leq C_{s,H} \|f\|_{L^\beta_\infty(E)}.$$

Theorem (Expansion of MOIs)

Let f be of order β , H symmetric and elliptic of order $h > 0$, and $V_i \in \text{op}^{r_i}$. If $\delta_H^n(V_i) \in \text{op}^{n(h-\epsilon)+r_i}$ for all $n \in \mathbb{N}$, then we have

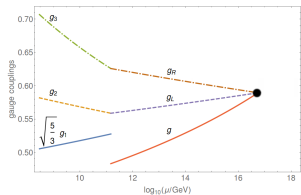
$$T_{f^{[n]}}^{H, \dots, H}(V_1, \dots, V_n) \sim \sum_{m=0}^{\infty} \sum_{m_1 + \dots + m_n = m} \frac{C_{m_1, \dots, m_n}}{(n+m)!} \delta_H^{m_1}(V_1) \dots \delta_H^{m_n}(V_n) f^{(n+m)}(H).$$

Two ways to expand the spectral action $\text{Tr}(f(\frac{D+V}{\Lambda}))$:

1. Expand $\text{Tr}(f(\frac{D+V}{\Lambda}))$ in Λ

$$\begin{aligned} \text{Tr}(f(\frac{D_{M \times F} + V}{\Lambda})) &= c_0 \Lambda^4 \text{vol}(M) + c_1 \Lambda^2 \int R \sqrt{g} dx + c_2 \int \text{tr} F_{\mu\nu} F^{\mu\nu} \\ &\quad - c_3 \int |\phi|^2 + c_4 \int |\phi|^4 + \dots \end{aligned}$$

Spectral triple \rightarrow Physical effective action, RG flow \rightarrow measurable data.
But: noncommutativity is ignored in intermediate step.



Renormalization Group flow

cf. [van Suijlekom, Chamseddine, Connes, JHEP]

2. Expand $\text{Tr}(f(\frac{D+V}{\Lambda}))$ in $\Lambda^{-1}V$

$$\text{Taylor: } \text{Tr}(f(\frac{D+V}{\Lambda})) = \sum_{n=0}^{\infty} \frac{\Lambda^{-n}}{n!} \text{Tr}(T_{f^{[n]}}^{D/\Lambda, \dots, D/\Lambda}(V, \dots, V))$$

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Let f be of order β , H symmetric and elliptic of order $h > 0$, and $V_i \in \text{op}^{r_i}$. If $\delta_H^n(V_i) \in \text{op}^{n(h-\epsilon)+r_i}$ for all $n \in \mathbb{N}$, then we have

$$T_{f^{[n]}}^{H, \dots, H}(V_1, \dots, V_n) \sim \sum_{m=0}^{\infty} \sum_{m_1 + \dots + m_n = m} \frac{C_{m_1, \dots, m_n}}{(n+m)!} \delta_H^{m_1}(V_1) \cdots \delta_H^{m_n}(V_n) f^{(n+m)}(H).$$

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Combining this with $[f(\Theta), V] = T_{f^{[1]}}^{\Theta}([\Theta, V])$, one obtains formulas from [Connes-Moscovici, 1995] like

$$[\Theta^{\alpha}, V] \sim \sum_{k=1}^{\infty} \binom{\alpha}{k} \delta_{\Theta}^k(V) \Theta^{\alpha-k}$$

and

$$[\log(\Theta), V] \sim \sum_{k=1}^{\infty} \frac{(-1)^{k-1}}{k} \delta_{\Theta}^k(V) \Theta^{-k}.$$

Besides old results, we also obtain a new one: an open question posed by Iochum a few years ago.

Corollary

Let $(\mathcal{A}, \mathcal{H}, D)$ be a regular s -summable spectral triple, $s > 0$. Let $V \in \mathcal{B}$ be self-adjoint and bounded, where \mathcal{B} is the algebra generated by \mathcal{A} and D . If

$$\mathrm{Tr}(Qe^{-tD^2})$$

admits an asymptotic expansion as $t \rightarrow 0$ for each $Q \in \mathcal{B}$, then

$$\mathrm{Tr}(Pe^{-t(D+V)^2})$$

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Thanks!



Proposition

Let $H \in \text{op}^r(\Theta)$ be such that $[\Theta, H] \in \text{op}^r$. If the extension

$$H : \mathcal{H}^{s_0+r} \rightarrow \mathcal{H}^{s_0}$$

has a bounded inverse

$$H^{-1} : \mathcal{H}^{s_0} \rightarrow \mathcal{H}^{s_0+r}$$

for one particular $s_0 \in \mathbb{R}$, then $H^{-1}|_{\mathcal{H}^\infty} \in \text{op}^{-r}$. We have

$HH^{-1}|_{\mathcal{H}^\infty} = H^{-1}H|_{\mathcal{H}^\infty} = 1_{\mathcal{H}^\infty}$. In particular, if $H \in \text{op}^r$ and $[\Theta, H] \in \text{op}^r$ with $r \geq 0$, then we have as (unbounded) operators

$$\sigma(H : \mathcal{H}^{s_0+r} \subseteq \mathcal{H}^{s_0} \rightarrow \mathcal{H}^{s_0}) = \sigma(H : \mathcal{H}^{s+r} \subseteq \mathcal{H}^s \rightarrow \mathcal{H}^s)$$

for all $s \in \mathbb{R}$.

Lemma

Let $H \in \text{op}^0$ be such that $[\Theta, H] \in \text{op}^0$ and $\overline{H}^{0,0} : \mathcal{H} \rightarrow \mathcal{H}$ is self-adjoint. Then for all $s \in \mathbb{R}$, there is a constant $C_s > 0$ such that

$$\|(z - H)^{-1}\|_{\mathcal{H}^s \rightarrow \mathcal{H}^s} \leq C_s \frac{1}{|\Im(z)|} \left(\frac{\sqrt{1 + |z|^2}}{|\Im(z)|} \right)^{2|s|-1}, \quad z \in \mathbb{C} \setminus \mathbb{R}.$$