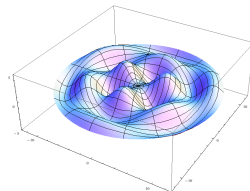
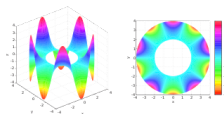
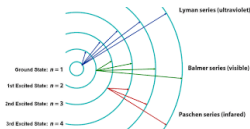
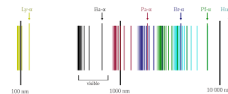
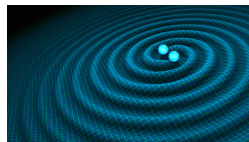
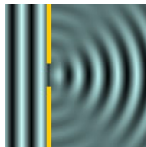
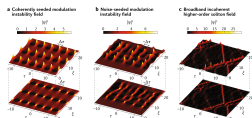


# *Spotting Multiple Operator Integrals in nature*



Teun van Nuland  
UNSW Sydney

Nature likes to sit in the spectrum of a self-adjoint operator  $D$ .



If you want to get a number out of the operator  $D$ , which only depends on the spectrum, and behaves nice under direct sums, you should take

$$\mathrm{Tr}(f(D)),$$

called the spectral action, for a function  $f$ .

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We would like to understand variational properties of the spectral action as  $D \mapsto D + V$ ,  $V \in \mathcal{B}(\mathcal{H})_{\mathrm{sa}}$ . For instance, a Taylor expansion

$$\mathrm{Tr}(f(D + V)) = \mathrm{Tr}(f(D)) + \sum_{n=1}^{\infty} \frac{1}{n!} \mathrm{Tr} \left( \left. \frac{d^n}{dt^n} \right|_{t=0} f(D + tV) \right).$$

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Examples:

1. Quantum Mechanics ( $D = H$  Hamiltonian,  $V$  potential,  $f(t) = e^{it}$  or  $f(t) = \frac{1}{i-t}$ )
2. Noncommutative geometry ( $D$  Dirac operator,  $V$  gauge field)
3. Noncommutative QFT ( $D$  Dirac operator,  $V$  quantum field)
4. Spectral geometry, heat expansion ( $D = \Delta$  Laplacian,  $f(t) = e^{-t}$ )

Let  $\mathcal{H}$  be a Hilbert space,  $D$  a (possibly unbounded) self-adjoint operator in  $\mathcal{H}$ ,  $V^* = V \in \mathcal{B}(\mathcal{H})$ . Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be smooth and of rapid decrease, which acts by functional calculus on  $D$  or  $D + V$ . We want to know

$$\frac{d^n}{dt^n} f(D + tV)|_{t=0}.$$

When does it exist and how can we calculate it?

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$$\left. \frac{d}{dt} \right|_{t=0} (z - D - tV)^{-1} = (z - D)^{-1} V (z - D)^{-1}.$$



Now for any  $f$ . Trick! Write

$$f(D + tV) = \frac{1}{2\pi i} \int_{\Gamma} f(z)(z - D - tV)^{-1} dz.$$

Recall  $\left. \frac{d}{dt} \right|_{t=0} (z - D - tV)^{-1} = (z - D)^{-1} V (z - D)^{-1}$ . We obtain

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Example:  $D = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}$ ,  $V = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . In our example,

$$\begin{aligned} \frac{d}{dt} \Big|_{t=0} f(D + tV) &= \frac{1}{2\pi i} \int_{\Gamma} (z - D)^{-1} V (z - D)^{-1} dz \\ &= \frac{1}{2\pi i} \int_{\Gamma} \begin{pmatrix} (z - \lambda_1)^{-1} & 0 \\ 0 & (z - \lambda_2)^{-1} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} (z - \lambda_1)^{-1} & 0 \\ 0 & (z - \lambda_2)^{-1} \end{pmatrix} dz \\ &= \begin{pmatrix} 0 & \frac{f(\lambda_1) - f(\lambda_2)}{\lambda_1 - \lambda_2} \\ \frac{f(\lambda_1) - f(\lambda_2)}{\lambda_1 - \lambda_2} & 0 \end{pmatrix} \\ &\equiv \begin{pmatrix} 0 & f^{[1]}(\lambda_1, \lambda_2) \\ f^{[1]}(\lambda_1, \lambda_2) & 0 \end{pmatrix} \end{aligned}$$

where we have introduced the divided difference  $f^{[1]}(\lambda_0, \lambda_1) = \frac{f(\lambda_0) - f(\lambda_1)}{\lambda_0 - \lambda_1}$ .

More generally,  $f^{[n]}(\lambda_0, \dots, \lambda_n) = \frac{f^{[n-1]}(\lambda_0, \dots, \lambda_{n-1}) - f^{[n-1]}(\lambda_1, \dots, \lambda_n)}{\lambda_0 - \lambda_n}$ .

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$$\sum_{i_0, \dots, i_n} f^{[n]}(\lambda_{i_0}, \dots, \lambda_{i_n}) (V_1)_{i_0 i_1} \cdots (V_n)_{i_{n-1} i_n} E_{i_0 i_n}$$



Suppose  $\phi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  is measurable and can be written as

$$\phi(\lambda_0, \dots, \lambda_n) = \int_{\Sigma} d\sigma \alpha_0(\lambda_0, \sigma) \cdots \alpha_n(\lambda_n, \sigma)$$

for some measure space  $(\Sigma, \sigma)$ , and each  $\alpha_j : \Sigma \times \mathbb{R} \rightarrow \mathbb{R}$  is nice enough. We define [Peller 2006, Azamov, Carey, Dodds, Sukochev, 2009] the Multiple Operator Integral

$$T_{\phi}^D(V_1, \dots, V_n) := \int_{\Sigma} d\sigma \alpha_0(D, \sigma) V_1 \alpha_1(D, \sigma) \cdots V_n \alpha_n(D, \sigma).$$

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Credit  $n = 1$ : Daletskii, Krein, Löwner, Krein, Birman, Solomyak

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Résumé: The Multiple Operator Integral is a multilinear operator

$$T_{\phi}^D : \mathcal{B}(\mathcal{H}) \times \cdots \times \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H}),$$

defined by

$$T_{\phi}^D(V_1, \dots, V_n) := \int_{\Sigma} d\sigma \alpha_0(D, \sigma) V_1 \alpha_1(D, \sigma) \cdots V_n \alpha_n(D, \sigma).$$

When  $V_1, \dots, V_n \in \mathcal{S}^n$  then  $T_{\phi}^D(V_1, \dots, V_n) \in \mathcal{S}^1$ .

However,  $V$  is often not in  $\mathcal{S}^n$ . (E.g., multiplication operator.) Sometimes  $(D - i)^{-1}$  is, and more often  $V(D - i)^{-1}$  is.

Suppose that  $D$  is selfadjoint in a Hilbert space  $\mathcal{H}$ , and  $V = V^* \in \mathcal{B}(\mathcal{H})$ , such that  $V(D - i)^{-1} \in \mathcal{S}^n$  is Schatten  $n$ -class. Suppose  $f \in C^1$  s.t.  $\hat{f}, \hat{f}', (\widehat{fu})' \in L^1$ . Let  $u(x) := x - i$ . We have

$$(fu)^{[1]}(\lambda_0, \lambda_1) = f(\lambda_0, \lambda_1) + f^{[1]}(\lambda_0, \lambda_1)u(\lambda_1)$$

so

$$f^{[1]}(\lambda_0, \lambda_1) = (fu)^{[1]}(\lambda_0, \lambda_1)(\lambda_1 - i)^{-1} - f(\lambda_0)(\lambda_1 - i)^{-1}.$$

Therefore,

$$T_{f^{[1]}}^D(V) = T_{(fu)^{[1]}}^D(V(D - i)^{-1}) - f(D)V(D - i)^{-1}.$$

Hence  $T_{f^{[1]}}^D(V) \in \mathcal{S}^n$ . [Skripka, Chattopadhyay, 2013]



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Hence  $T_{f^{[1]}}^D(V) \in \mathcal{S}^n$ . [Skripka, Chattopadhyay, 2013] This argument extends to higher order and to more relaxed assumptions on  $V$  and  $D$ . In particular,

$$V_j(D - i)^{-1} \in \mathcal{S}^n \Rightarrow T_{f^{[n]}}^D(V_1, \dots, V_n) \in \mathcal{S}^1.$$

[Skripka, vN, 2021]

Applications in nature:

1. Spectral shift function for operators in Quantum Mechanics
2. Cyclic cocycles in noncommutative geometry
3. Noncommutative quantum field theory
4. Heat-trace expansion

Application 1: we find function  $\eta_n$  [Skripka, vN, 2021] such that

$$\mathrm{Tr}(f(D + V)) - \sum_{k=0}^{n-1} \frac{d^k}{dt^k} \mathrm{Tr}(f(D + V)) \Big|_{t=0} = \int_{\mathbb{R}} \eta_n(t) f^{(n)}(t) dt,$$

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Our milder assumptions bridge the gap between theory and application.

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Our milder assumptions bridge the gap between theory and application. Examples are  $D = \Delta$ ,  $D = \Delta + V$ ,  $D = \mathcal{D}$  in quantum theory and NCG.

## Application 2:

### Theorem (van Suijlekom, vN, 2021)

Let  $(\mathcal{A}, \mathcal{H}, D)$  be an  $s$ -summable spectral triple.

$$\mathrm{Tr}(f(D + V) - f(D)) = \sum_{k=1}^{\infty} \left( c_k \int_{\psi_{2k-1}} \mathrm{cs}_{2k-1}(A) + \frac{1}{2k} \int_{\phi_{2k}} F^k \right),$$

where  $c_k := \frac{(2k-1)!}{(-1)^{k-1}(k-1)!}$ . This series converges absolutely.

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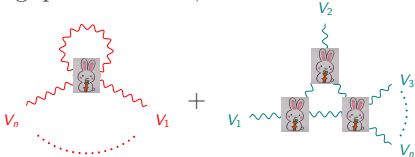
where  $c_k := \frac{(2k-1)!}{(-1)^{k-1}(k-1)!}$ . This series converges absolutely.

$$\mathrm{Tr}(f(D+V) - f(D)) = \sum_{n=1}^{\infty} \mathrm{Tr}(T_{f[n]}^D(V, \dots, V))$$

$$= \text{diagram 1} + \frac{1}{2} \text{diagram 2} + \frac{1}{3} \text{diagram 3} + \dots$$

The diagrams represent Feynman-like expansions of the trace. Each diagram features a central box containing a cartoon rabbit. The first diagram has a single wavy orange line labeled  $V$  entering from the left. The second diagram has two wavy blue lines labeled  $V$ , one entering from the left and one exiting to the right. The third diagram has two wavy red lines labeled  $V$ , one entering from the top and one exiting from the bottom. The ellipsis indicates further terms in the series.

Application 3: Introducing quantum fields,

$$\langle\langle V_1, \dots, V_n \rangle\rangle_N^{1L} =$$


The diagram shows the first two terms of the expansion. The first term is a loop with a red wavy line on top and a red dotted line on the bottom, with a rabbit icon in the center. The second term is a chain of three rabbit icons connected by wavy lines, with external lines labeled  $V_1, V_2, V_3, \dots, V_n$ .

we obtain

**Theorem (van Suijlekom, vN, 2022)**

There exist cyclic cocycles  $(\psi_1^N, \psi_3^N, \dots)$  and  $(\phi_2^N, \phi_4^N, \dots)$  such that for all ‘finite-dimensional’  $V = \sum_j a_j [D, b_j]$ ,  $A = \sum_j a_j db_j$ , we have asymptotically

$$\sum_{n=1}^{\infty} \frac{1}{n} \langle\langle V, \dots, V \rangle\rangle_N^{1L} \sim \sum_{k=1}^{\infty} \left( c_k \int_{\psi_{2k-1}^N} \text{cs}_{2k-1}(A) + \frac{1}{2k} \int_{\phi_{2k}^N} F^k \right).$$



#### Application 4: Local invariants in the asymptotics of

$$\mathrm{Tr}(e^{-t\Delta})$$

as  $t \rightarrow 0$  for the Laplacian  $\Delta$  of any conformally deformed noncommutative torus. Previously only in special cases.

[Connes,Moscovici,Tretkoff,Fathizadeh,Khalkhali,many more] Answer in higher order and for any dimension: uses multiple operator integrals.

[Sukochev, Zanin, vN, 2023]

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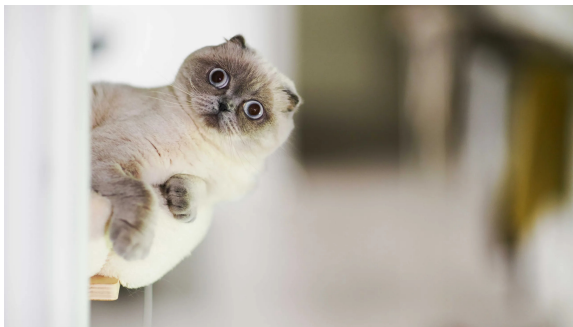
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Thank you for your attention